

HO CHI MINH STOCK EXCHANGE



**GROUND RULES FOR
VIETNAM MODERN INDUSTRIALS &
TECHNOLOGY INDEX**

Version 1.1

*(In accordance with Decision No 745/QĐ-SGDHCM on September 29, 2026
by the Chairman of Ho Chi Minh Stock Exchange)*

**Ho Chi Minh city
September - 2026**

TABLE OF CONTENTS

1.	INTRODUCTION	4
2.	GENERAL INDEX OVERVIEW	4
3.	DEFINITIONS	5
4.	PERIODIC SCREENING OF INDEX CONSTITUENTS	5
5.	INTRA PERIOD ADJUSTMENTS TO INDEX CONSTITUENTS	6
6.	INDEX CALCULATION METHODOLOGY	6
7.	CONTACT INFORMATION	7

HISTORY OF AMENDMENTS

Version	Content
1.1	- Restructuring of the Ground Rules for management of the VNMITECH Index version 1.0.

1. INTRODUCTION

- 1.1. The Ground Rules for Vietnam Modern Industrials & Technology Index (acronym VNMITECH Index) are issued upon approval by the Vietnam Exchange (VNX), establishing the core principles governing the construction, maintenance, and daily administration of the VNMITECH Index by the Ho Chi Minh Stock Exchange (HOSE) under authority delegated by VNX.
- 1.2. These Ground Rules may be supplemented, amended, or withdrawn in whole or in part at any time. Such amendments, supplements, or withdrawals of the rules may lead to changes in the construction and management of the index or directly affect the index.
- 1.3. All intellectual property rights related to these Ground Rules and the index, including the name, compositions, and calculation methodologies, are owned by VNX and HOSE. Except for cases where permission is not required and exceptions stipulated by the Law on Intellectual Property, any reproduction in whole or in part of these Ground Rules without the prior consent of VNX or HOSE shall be considered a copyright infringement and handled in accordance with the provisions of law.
- 1.4. Unless otherwise specified in these Ground Rules, all related matters shall be governed by the provisions outlined in the Ground Rules for HOSE Equity Indices (the Ground Rules for HOSE Indices).

2. GENERAL INDEX OVERVIEW

- 2.1. The VNMITECH Index is a tradable index, comprising between 30 and 50 constituent stocks. Constituents are selected from the VNAllshare Materials, VNAllshare Industrials, and VNAllshare Information Technology Sector Indices and meet the index screening criteria.
- 2.2. The VNMITECH Price Index is calculated based on free-float adjusted market capitalization and is subject to capitalization weight capping (for a single stock and the group of stocks selected from the VNAllshare Materials Sector Index) and a liquidity-based weight limit.

The Price Index is calculated on real-time basis and distributed every 5 seconds during the trading days.

- 2.3. The VNMITECH Total Return Index (VNMITECH TRI) shall be calculated based on the VNMITECH Price Index, reflecting both price movements and the reinvestment of cash dividends from constituent stocks.

The Total Return Index shall be calculated and distributed one time at the end of each trading day.

2.4. The base value of the index is set at 1000. The base date of the index is October 31st 2025.

2.5. The constituent list of the index is:

- Reviewed semi-annually in January and July
- Updated for information (including free-float ratio, the volume of outstanding shares for index calculation, capitalization weight capping factor, and index constituents (if any)) quarterly in January, April, July and October.

Refer to detailed provisions regarding the **Data cut-off date**, **Announcement date**, and **Effective date** for periodic reviews and information updates as specified in the *Definitions* section of the Ground Rules for HOSE Indices.

3. DEFINITIONS

- The VNAllshare Materials (VNMAT), VNAllshare Industrials (VNIND), and VNAllshare Information Technology (VNIT) baskets refer to the constituent stock lists of the respective VNAllshare sector Indices, as determined most recent periodic review of the Ground Rules for VNAllshare Sector Indices.
- Free-float adjusted market capitalization (GTVH_f), free-float (f), order-matching trading value (GTGD_KL): Refer to *Definitions* section of the Ground Rules for HOSE Indices.

4. PERIODIC SCREENING OF INDEX CONSTITUENTS

4.1. Periodic index constituent screening steps

Step 1: From the most recently reviewed VNMAT, VNIND, and VNIT baskets select stocks with $GTVH_f \geq 1,500$ billion VND.

Step 2: From the list of stocks passing Step 1, retain those with $GTGD_KL \geq 20$ billion VND per day.

Step 3: From the list of stocks meeting the criteria in Step 2, construct the official index basket as follows:

** If more than 50 stocks meet the Step 2 criteria:*

Select 50 stocks with the highest GTVH_f to the official index basket. In the event of equal GTVH_f, stocks with higher GTGD_KL shall be given priority.

** If between 30 and 50 stocks meet the Step 2 criteria:*

Include all qualifying stocks from Step 2 in the index.

** If fewer than 30 stocks meet the Step 2 criteria:*

Select qualifying stocks from Step 2 and supplement the list by selecting additional stocks from Step 1 based on descending GTVH_f, giving

priority to stocks with higher GTGD_KL in case of equal GTVH_f, until the basket reaches 30 stocks.

4.2. Update of index basket before the Effective Date

The index basket shall continue to be updated, excluding stocks in accordance with the regulations on *Update of index basket before the Effective Date* outlined in the Ground Rules for HOSE Indices.

The replacement of removed stocks shall be conducted similarly to the intra period adjustment prescribed in Section 5.2 of these Ground Rules.

5. INTRA PERIOD ADJUSTMENTS TO INDEX CONSTITUENTS

5.1. The index basket shall be adjusted intra period if it falls under the cases of *Intra Period Adjustments To Index Constituents* specified in the Ground Rules for HOSE Indices.

5.2. The replacement of removed stocks shall be conducted as follows:

5.2.1. If the number of constituents in the index falls below 30, replacement stocks shall be selected in descending order of GTVH_f (giving priority to higher GTGD_KL when GTVH_f are equal) from the list of stocks that satisfied the criteria in Step 1 of section 4.1 at the most recent periodic review, until the official index basket reaches 30 constituents.

5.2.2. If the number of remaining constituents is 30 or more, no replacements shall be added for any removed stocks.

6. INDEX CALCULATION METHODOLOGY

6.1. The VNMITECH Price Index and VNMITECH Total Return Index (VNMITECH TRI) are calculated in accordance with the *Price Index Calculation Methodology* and *Total Return Index Calculation Methodology* refer in the Ground Rules for HOSE Indices.

6.2. Capping factor of stock (c)

The capping factor of a constituent stock is calculated in accordance with the regulation on the **Capping factor of stock (c)** in the Ground Rules for HOSE Indices

The capping applied to the index constituent stocks is as follows:

- 15% for a single stock.
- 25% for the group of stocks selected from the VNMAT; not applied to stocks selected from the VNIND and VNIT Indices.

6.3. Stock weight adjustment factor (g)

Stock weight adjustment factor of stock i (g_i) is liquidity-based weight cap of stock i , is calculated as follows:

GTGD_KL_i /GTVH_f_i	Below 0.10%	From 0.10% to below 0.15%	From 0.15% to below 0.20%	0.20% or higher
g_i	25%	50%	75%	100%

7. CONTACT INFORMATION

For more information regarding index construction methodology, calculation, licensing and data services, please visit the official website or contact the Market Information Department of the Ho Chi Minh Stock Exchange (HOSE).



HO CHI MINH STOCK EXCHANGE

ADDRESS: NO. 16 VO VAN KIET STREET, BEN THANH WARD, HO CHI MINH CITY

PHONE : (84-28) 38 217 713, FAX: (84-28) 38 217 452

WEBSITE: <https://www.hsx.vn>

EMAIL: index@hsx.vn